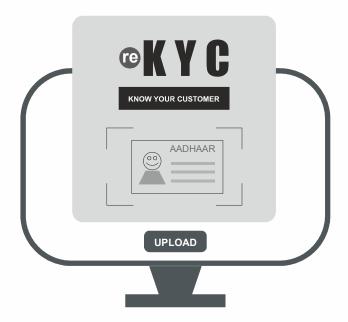
| Form No: | |
|-----------|--|
| CKYC Reg: | |

Unique Client Code (UCC):

Demat BO-ID: 12060700 -



RE-KYC FORM INDIVIDUALS

For NSE (CM/FO), BSE (CM/FO) & CDSL (Demat)

Note: Fill Page Nos. 2-5 only if there is any change or if not submitted earlier.

Checklist (For Individuals):

1 Passport Size **Photograph***

(affix with sign across on CKYC Form Pg.2)

PAN Card* (self attested copy)

Aadhaar Card* (self attested copy)

*Mandatory Documents Ignore pages 26-32

ver.DEC2025



AGROY FINANCE AND INVESTMENT LTD

Member: NSE, BSE | SEBI Regn INZ00018550 DP: CDSL | SEBI Regn INZ00018550 IN-DP-513-2020











| AGROY | Know | | | | C) | FO | R IN | DIV | /IDU | ALS | 10 | ILY | | | App | olica | tion | No. | : | | | | | | CEBR | |
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| STOCKS & DERIVATIVES | Applic | aแอก | rorm | 1 | | | | | | | | | | | | | | | | | | | t page | | Can's | THE REAL PROPERTY. |
| A. Identity D | | Prefix | | | | First N | lame | | | | | | Midd | I dle Nai | | se fil | l in | ENG | LISH | and | | ast Na | K LET | TERS | | |
| 1. Name of Ap | plicant | | | | | | | | | | | | | | | | | | | | | | | | | |
| Name as per Ir | come | | | | | | T | | | | | | | | | | | | | | | i | | | Ì | |
| Tax Record Maiden Name | (If Any) | | | | | | | | | | | | | | | | | | | | | | | | | |
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| Father/Spouse Mother Name | Ivallie | | | | | | | | | | | | | | | | | | | | | | | | | |
| 2. A. Gender | Male Fe | male 🔲 1 | Transgen | der B. N | /larital | Status | Sin | ngle [| Marr | ied | | | | | | | | | | | | DILL | 0.7.0 | CDA | DII | |
| 3. PAN | | | | | Natio | onality | lnd | lian [| Othe | r | | | - | | | | | | | | | | OTO Please | | PH | |
| 4. Residential Occupation | | Pvt Sector | Service | Public | c Sector | | ☐ Gov | vt. Se | | □В | usine | SS | ian Ori | | onal | | Agricu | lturist | | | | size | recent photog | , . Jraph | and | |
| | | Housewife | | Retire | 1 | | Stu | | | _ | Others | | | | | | ı | | | | | S | ign acı | ross it | | $\neg \parallel$ |
| 5. UID / Aadha | | | | | | | | | e of E | | | | M Drivin | | |) <u>)</u> | <u>y</u> | | | Г | | | | | | . |
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| B. Address I 1. Address for | | ndence | | | | | | | | | | | | | | | | | | | | | | | | |
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| 2. Contact Det | aile | | | | | | | | | | | Coun | u y | | | | | | | | | | | | | - |
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| 5. Specify the | proof of A | ddress | submit | ted for | Perma | nent A | Addres | ss | | UID | | Banl | k State | ment [| ☐ Pa | asspor | t 🗆 |] Drivir | ng Lice | ence | Ot | hers_ | | | | |
| C. FATCA & | CRS Deta | iil | | | ls | s your | Natio | nalit | v / Ta | x Res | sider | ncv o | ther t | han I | India | · ? | Yes | | No | | | | | | | |
| Country of | 1 | | | TT | | | | | | Coi | untr | v of N | lation | ality | | Ī | | | | | П | | | П | | П |
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| 0.0007 | | | | | | ARAT | | | | | | | | | | | | | | | | | API | חווכ | V VI | - |
| I/We hereby declare | that the KYC | details fu | rnished h | | | | | nest n | f mv/oı | ır knov | vleda | and h | nelief ar | nd I/we | e unde | er-take | to | 31 | GIV | AIC | INE | UF | AFI | PLIC | AIV | ' |
| inform you of any ch I am/we are aware | anges therein | , immediat | tely. In cas | se any of | the abov | e inform | ation is t | found | to be fa | alse or | untru | e or mi | sleadin | g or mi | isrepr | esenti | ng, | | | | | | | | | - 1 |
| method voluntarily. | My Aadhaar re | ecord can b | be used b | y KRA ag | gencies o | only for t | he spec | ific pu | irpose | validati | ing/ m | aintair | ning/ sh | aring r | my KY | C rec | ord | | | | | | | | | 8 |
| and as an audit evid including Central KY | | | | | | | | | | | | | | | | | | | | | | | | | | \dashv |
| OVD based KYC, m | y KYC reques | st shall be | validated | d against | Aadhaar | details. | I/We he | ereby | conser | nt to sh | aring | my/ou | r maske | ed Aad | dhaar | card w | rith | Plac | e: | | | | | | | -1 |
| agencies/Intermedi | | | | | | | | | ilcable | , with O |)LDI, I | viva, c | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | ariu ou | ICI III | sululio | 113/ | Date | e: | | | | | | | _ |
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| AMC/Intermediary | name OR co | de | | | | | FOI n-Perso | | | | | | | s Veri | fied v | vith O | rigina | ls [| ☐ Clie | ent Int | ervie | wed | | | | |
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| | ed) Self Cert | ified Doci | | | :eived | Insti | n-Perso tution N | ame | rification | on (IP\ e: Agro | /) [by Fin | Doc | ument and Inv | vestme | | t d Des | ignatio | on: | | | | | у у | | | |

Seal/Stamp of the intermediary:

MODIFICATION IN MOBILE / EMAIL ID To, AGROY FINANCE AND INVESTMENT LTD., New Delhi (SEBI REG#INZ000185530) (DP ID 12060700) I/We wish to make changes to my Mobile No. / Email ID registered with the Trading/Demat Account with you [As per details given below] BOID: |1 |2 | 0 | 6 0 |7 | 0 | 0 | | | | | | | | | UCC: **DATE:** | D| D| / | M| M| / | Y | Y | Y | Y | A. MOBILE NUMBER / EMAIL ID I/We hereby request you to send all your communications pertaining to my/our Trade Confirmations, Contract Notes, MTM Obligation, Margin Calls or any other communication (which is necessary from your Compliance point of view) including the verification call from Agroy etc., on the below mentioned Mobile number / Email ID: 2ndt Holder / Auth. Signatory 3rd Holder / Auth. Signatory 1st Holder / Auth. Signatory **SIGN** NAME

MODIFICATION IN TRADING & DEMAT ACCOUNT To, AGROY FINANCE AND INVESTMENT LTD., New Delhi (SEBI REG#INZ000185530) (DP ID 12060700) I/We wish to make changes to my Trading/Demat Account with you [As per details given below] BOID: |1|2|0|6|0|7|0|0| | DATE: |D|D|/|M|M|/|Y|Y|Y|Y UCC: A. BANK ACCOUNT(S) DETAILS - For Payin / Payout and Dividends / Corporate Actions **Bank Name Branch Address** City/Town/Village ____ Country Bank Account No. □ NRO \square NRI \square NRE **Account Type** Saving □ Current □ Others - In case of **MICR Number** IFSC Code Note: Please attach a Cancelled Cheque **B. TRADING PREFERENCES** *Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you. NSE, BSE Cash / F&O All Segments Mutual Fund # If, in future, the client wants to trade on any new segment/new exchange, separate authorization/letter should be submitted by the client. C. INCOME DETAILS Gross Annual Income Details (Please tick): ☐ Below 1 Lac ☐ 1-5 Lac ☐ 5-10 Lac ☐ 10-25 Lac ☐ 25 Lacs-1Cr☐above 1Cr Net-Worth in Rs. Net worth should not be older than 1 year as on (date) Lalad / Lmlm / Lyly Lyly 1st Holder / Auth.Signatory 2ndt Holder / Auth. Signatory 3rd Holder / Auth. Signatory SIGN NAME

NOMINATION FORM

| J. NOMINATION FORM | | Please fill eith | er Part 1 or Part 2 |
|--|---|---|--|
| To, AGROY FINANCE AND INVESTM (SEBI REG#INZ000185530) (DP ID 12060700) | MENT LTD., New Delhi | Di | ate - - |
| I/We wish to make a nomination [As per | _ | | |
| Nomination Details | UCC | BO ID 1206 | 8 0 7 0 0 |
| I/We wish to make a nomination and do | hereby nominate the following p | erson(s) who shall receive all the a | assets held in my / our account |
| in the event of my / our death. | 1st Nominee | 2nd Nominee | 3rd Nominee |
| 1. Name of the nominee(s) (Mr/Mrs) | | | |
| 2. Share of each Nominee (Any odd lot after division shall be transferred to the first nominee) | <u> </u> | <u> </u> | <u> </u> |
| 3. Relationship with the Applicant (If Any) | | | |
| 4. Address of Nominee(s) | | | |
| City / Place | | | |
| State & Country | | | |
| PIN Code | | | |
| 5. Mobile / Tel No. of Nominee(s) | | | |
| 6. Email Id of nominee(s) | | | |
| 7. Nomination Identification details [Please tick any one of the following and provide details of the same] | Photograph & Signature PAN Addhaar Saving Bank Account Proof of Identity Demat Account | Photograph & Signature PAN Aadhaar Saving Bank Account Proof of Identity Demat Account | ☐ Photograph & Signature ☐ PAN ☐ Aadhaar ☐ Saving Bank Account ☐ Proof of Identity ☐ Demat Account |
| Points 8-14 to be filled only | | | |
| if nominee(s) is a monor | | | |
| 8. Date of Birth | | | |
| 9. Name of Guardian | | | |
| 10. Address of Guardian | | | |
| City / Place | | | |
| State & Country PIN Code | | | |
| 11. Mobile / Tel No. of Guardian | | | |
| 12. Email Id of Guardian | | | |
| 13. Relationship of Guardian with | | | |
| the Nominee 14. Guardian Identification details | ☐ Photograph & Signature ☐ PAN | ☐ Photograph & Signature ☐ PAN | Photograph & Signature PAN |
| [Please tick any one of the following and provide details of the same] | ☐ Aadhaar ☐ Saving Bank Account ☐ Proof of Identity ☐ Demat Account | ☐ Aadhaar ☐ Saving Bank Account ☐ Proof of Identity ☐ Demat Account | ☐ Aadhaar ☐ Saving Bank Account ☐ Proof of Identity ☐ Demat Account |
| N | | | |
| Note: 1. Signatures of witness along with 2. This nomination shall supersede | | | on instead of signatures |
| | | | |
| First Holder Signat | | | nird Holder Signature |
| K. DECLARATION FORM FOR O | | _ |)ate |
| To,AGROY FINANCE AND INVESTM (SEBI REG#INZ000185530)(DP ID 12060700) | LENT LTD., New Delhi UCC | BO ID 1 2 0 6 | |
| Name of the Account Holders | 1st Holder | 2nd Holder | 3rd Holder |
| I/We hereby confirm that I/We do not wisl in no-appointment of nominee(s) and furt submit all the requisite documents / inforr by Court or other such competent authori | n to appoint any nominee(s) in my her are aware that in case of death mation for claiming of assets held it | / our trading / demat account and un of all the account holder(s), my/our my/our trading/demat account, w | Inderstand the issues involved Ir legal heirs would need to |
| First Halden Clauset | Second Holder Si | gnaturo | Idar Cianatura |
| First Holder Signature | Second Holder Si | Inird Ho | lder Signature |

| Certificate No Dated: | |
|---|--|
| DEMAT DEBIT AND PLEDGE INSTRUCTION | |
| To Agroy Finance and Investment Limited CDSL DP ID 60700 New Delhi | |
| Trading UCC: Demat BOID: 12060700 | |
| Pursuant to SEBI Circular SEBI/HO/MIRSD/DoP/P/CIR/2022/44 dated April 04, 2 Demat Account and Trading Account held with you, I/we hereby authorise you to Pledge Instructions as follows: | 022, with respect to my / our o carry out Demat Debit and |
| Transfer of securities held in my/our beneficial owner accounts towards Stock Exchange related deliveries / | First Holder |
| settlement obligations arising out of trades executed by me/us on the Stock Exchange through Agroy Finance and | Second Holder |
| Investment Limited. | Third Holder |
| Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) viz. Agroy Finance | First Holder |
| and Investment Limited for the purpose of meeting my / our margin requirements in connection with the trades executed | Second Holder |
| by me/us on the Stock Exchange. | Third Holder |
| Mutual Fund transactions being executed on Stock Exchange order entry platforms. | First Holder |
| | Second Holder |
| | Third Holder |
| Tendering shares in open offers through Stock Exchange platforms. | First Holder |
| | Second Holder |
| | Third Holder |
| | |

This Demat Debit and Pledge Instruction is annexed to the Non-Judicial e-Stamp Paper vide

^{*} the same may be eSigned or signed physically by all joint holders / authorised signatories

^{*} in this regard refer the clause in 'Rights and Obligations' Document under the sub heading 'Additional Rights and obligations. Given on website and in client copy

MOST IMPORTANT TERMS AND CONDITIONS (MITC)

- Your trading account has a "Unique Client Code" (UCC), different from your demat 1. account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
- 2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
- 3. The stock broker's Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
- All securities purchased by you will be transferred to your demat account within one 4. working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
- 5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
- You will get a contract note from the stock broker within 24 hours of the trade. 6.
- You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your 7. stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
- The stock broker is expected to know your financial status and monitor your accounts 8. accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
- In case of disputes with the stock broker, you can raise a grievance on the dedicated 9. investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
- 10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar

| | nature are prohibited SEBI/stock exchanges | • | | | - | protection/recourse | e from |
|-----|--|------|----------------|-------|---|------------------------|--------|
| ACK | NOWLEDGEMENT: | | | | | Date - - | |
| | First Holder Signature | Seco | nd Holder Sign | ature | | Third Holder Signature | (ACDO) |